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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. PH-7134

First Inventor or Application Identifier Copeland et al

Title PEPTIDASE-CLEAVABLE, TARGETED ANTINEOPLASTIC DRUGS AND THEIR THERAPEUTIC USE

Express Mail Label No. EL387242709US

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
  2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
  3. ☒ Specification [Total Pages (preferred arrangement set forth below)
    - Descriptive title of the invention
    - Cross References to Related Applications
    - Statement Regarding Fed sponsored R & D
    - Reference to sequence listing, a table, or a computer program listing appendix
    - Background of the invention
    - Brief Summary of the invention
    - Brief Description of the Drawings (if filed)
    - Detailed Description
    - Claim(s) = 39
    - Abstract of the Disclosure
  4. ☐ Drawing(s) (35 U.S.C.113) [Total Sheets   - 5. Oath or Declaration [Total Pages   - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 17 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
    - a. ☒ Computer Readable Form (CRF)
    - b. Specification Sequence Listing on:
      - i. ☐ CD-ROM or CD-R (2 copies); or
      - ii. ☒ paper
    - c. ☒ Statements verifying identity of above copies
- ### ACCOMPANYING APPLICATIONS PARTS
9. ☐ Assignment Papers (cover sheet & document(s))
  10. ☐ 37 C.F.R. §3.73(b) Statement of Power of Attorney (when there is an assignee)
  11. ☐ English Translation Document (if applicable)
  12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
  13. ☐ Preliminary Amendment
  14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
  15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
  16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_ /

Prior application information: Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number 24348



or ☐ Correspondence address below

24348

PATENT TRADEMARK OFFICE

|         |   |           |                |          |                |
|---------|---|-----------|----------------|----------|----------------|
| Name    | SCOTT K. LARSEN   |           |                |          |                |
| Address | DuPont Pharmaceuticals Company – c/o E.I. duPont de Nemours and Co.<br>1007 Market Street |           |                |          |                |
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|                   |                 |                                   |                |
|-------------------|-----------------|-----------------------------------|----------------|
| Name (Print/Type) | Scott K. Larsen | Registration No. (Attorney/Agent) | 38,532         |
| Signature         |                 | Date                              | March 15, 2001 |

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

|                      |                |
|----------------------|----------------|
| Application Number   | Unknown        |
| Filing Date          | March 15, 2001 |
| First Named Inventor | Copeland et al |
| Examiner Name        | Unknown        |
| Group / Art Unit     | Unknown        |
| Attorney Docket No.  | PH-7134        |

TOTAL AMOUNT OF PAYMENT (\$) 1052.00

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

04-1928

Deposit  
Account  
Name

DuPont Pharmaceuticals  
Company

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### BASIC FILING FEE

| Large<br>Fee Code | Entity<br>Fee (\$) | Small<br>Fee Code | Entity<br>Fee (\$) | Fee Description        | Fee Paid |
|-------------------|--------------------|-------------------|--------------------|------------------------|----------|
| 101               | 710                | 201               | 355                | Utility filing fee     | 710      |
| 102               | 320                | 206               | 160                | Design filing fee      |          |
| 107               | 490                | 207               | 245                | Plant filing fee       |          |
| 108               | 710                | 208               | 355                | Reissue filing fee     |          |
| 114               | 150                | 214               | 75                 | Provisional filing fee |          |

SUBTOTAL (1)

(\$ 710.00)

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra<br>Claims | Fee from<br>below | Fee<br>Paid |
|--------------------|-----------------|-------------------|-------------|
| 39                 | -20**           | 19                | 342         |
| Independent Claims | 1               | -3**              | 0           |
| Multiple Dependent |                 |                   | 0           |

| Large<br>Fee Code | Entity<br>Fee (\$) | Small<br>Fee Code | Entity<br>Fee (\$) | Fee Description  | Fee Paid |
|-------------------|--------------------|-------------------|--------------------|--|----------|
| 103               | 18                 | 203               | 9                  | Claims in excess of 20                                     |          |
| 102               | 80                 | 202               | 40                 | Independent claims in excess of 3                          |          |
| 104               | 270                | 204               | 135                | Multiple dependent claim, if not paid                      |          |
| 109               | 80                 | 209               | 40                 | ** Reissue independent claims over original patent         |          |
| 110               | 18                 | 210               | 9                  | ** Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2)

(\$ 342.00)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large<br>Fee Code | Entity<br>Fee (\$) | Small<br>Fee Code | Entity<br>Fee (\$) | Fee Description  | Fee Paid |
|-------------------|--------------------|-------------------|--------------------|--|----------|
| 105               | 130                | 205               | 65                 | Surcharge - late filing fee or oath  |          |
| 127               | 50                 | 227               | 25                 | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139               | 130                | 139               | 130                | Non-English specification  |          |
| 147               | 2,520              | 147               | 2,520              | For filing a request for ex parte reexamination                            |          |
| 112               | 920*               | 112               | 920*               | Requesting publication of SIR prior to Examiner action                     |          |
| 113               | 1,840*             | 113               | 1,840*             | Requesting publication of SIR after Examiner action                        |          |
| 115               | 110                | 215               | 55                 | Extension for reply within first month                                     |          |
| 116               | 390                | 216               | 195                | Extension for reply within second month                                    |          |
| 117               | 890                | 217               | 445                | Extension for reply within third month                                     |          |
| 118               | 1,390              | 218               | 695                | Extension for reply within fourth month                                    |          |
| 128               | 1,890              | 228               | 945                | Extension for reply within fifth month                                     |          |
| 119               | 310                | 219               | 155                | Notice of Appeal   |          |
| 120               | 310                | 220               | 155                | Filing a brief in support of an appeal                                     |          |
| 121               | 270                | 221               | 135                | Request for oral hearing   |          |
| 138               | 1,510              | 138               | 1,510              | Petition to institute a public use proceeding                              |          |
| 140               | 110                | 240               | 55                 | Petition to revive - unavoidable   |          |
| 141               | 1,240              | 241               | 620                | Petition to revive - unintentional   |          |
| 142               | 1,240              | 242               | 620                | Utility issue fee (or reissue)   |          |
| 143               | 440                | 243               | 220                | Design issue fee   |          |
| 144               | 600                | 244               | 300                | Plant issue fee  |          |
| 122               | 130                | 122               | 130                | Petitions to the Commissioner  |          |
| 123               | 130                | 123               | 50                 | Petitions related to provisional applications                              |          |
| 126               | 240                | 126               | 240                | Submission of Information Disclosure Stmt                                  |          |
| 581               | 40                 | 581               | 40                 | Recording each patent assignment per property (times number of properties) |          |
| 146               | 710                | 246               | 355                | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149               | 710                | 249               | 355                | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 (RCE)         | 710                | 279               | 355                | Request for Continued Examination  |          |
| 169               | 900                | 169               | 900                | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Scott K. Larsen

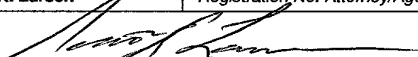
Registration No. Attorney/Agent

38,532

Telephone

302-992-2368

Signature



Date

March 15, 2001

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